

# 4  
BT  
18-02

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. 03-18-02 1351829-0015
---	-------------------------------------

In Re Application Of: **PERLA, Jesse et al.**

Serial No. 09/837,627	Filing Date January 31, 2000	Examiner	Group Art Unit 2152
--------------------------	---------------------------------	----------	------------------------

**OVERLAP**  
FEB 06 2002  
PATENT & TRADEMARK OFFICE

**RECEIVED**  
FEB 08 2002  
Technology Center 2100

**IN RE: METHOD AND SYSTEM FOR BUILDING INTERNET-BASED APPLICATIONS**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
1351829-0015

In Re Application: PERLA, Jesse et al.

Serial No.  
09/837,627

Filing Date  
January 31, 2000

Examiner

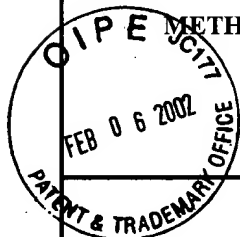
Group Art Unit

**RECEIVED**

FEB 08 2002

Technology Center 2100

METHOD AND SYSTEM FOR BUILDING INTERNET-BASED APPLICATIONS



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account

\_\_\_\_\_  
Signature

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

Dated: \_\_\_\_\_

Feb. 1 / 2002

PILLAY, Kevin - Regn No. 41,559  
FASKEN MARTINEAU DuMOULIN LLP  
Toronto Dominion Bank Tower  
Suite 4200, P.O. Box 20  
Toronto-Dominion Centre  
Toronto, Ontario M5K 1N6 Canada  
Telephone No. 416 868 3485  
Facsimile No. 416 364 7813

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
1351829-0015

In Re Application Of: PERLA, Jesse et al.

Serial No.  
09/837,627

Filing Date  
January 31, 2000

Examiner

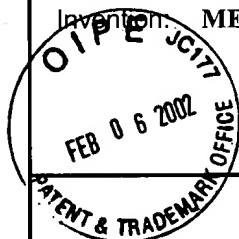
Group Art Unit  
2152

Invention: METHOD AND SYSTEM FOR BUILDING INTERNET-BASED APPLICATIONS

**RECEIVED**

FEB 08 2002

Technology Center 2100



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

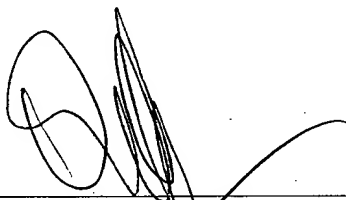
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
Signature

Dated:

Feb. 1 / 2002

PILLAY, Kevin - Regn No. 41,559  
FASKEN MARTINEAU DuMOULIN LLP  
Toronto Dominion Bank Tower  
Suite 4200, P.O. Box 20  
Toronto-Dominion Centre  
Toronto, Ontario M5K 1N6 Canada  
Telephone N . 416 868 3485  
Facsimile No. 416 364 7813

**Certificate of Mailing by First Class Mail**

I certify that this document is being deposited on \_\_\_\_\_  
with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

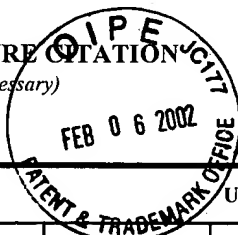
Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

1351829-0015

Application Number

1351829-0015

Applicant(s)

PERLA, Jesse et al.

Filing Date

January 31, 2002

Group Art Unit

2152

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,052,711	04/18/00	Gish			
		6,011,546	01/04/00	Bertram			
		6,138,158	10/24/00	Boyle et al.			
		6,134,450	10/17/00	Nordeman			
		6,125,388	09/26/00	Reisman			
		6,125,384	09/26/00	Brandt et al.			
		6,049,819	04/11/00	Buckle et al.			
		6,031,989	02/29/00	Cordell			
		6,023,714	02/08/00	Hill et al.			
		5,983,267	11/09/99	Shklar et al.			
		5,966,451	10/12/99	Utsumi			

**RECEIVED**  
FEB 08 2002  
Technology Center 2100

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		0 965 914	12/22/99	EP				

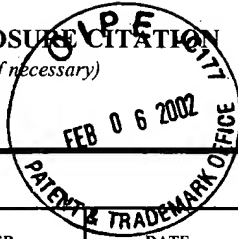
## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)



Docket Number (Optional)

1351829-0015

Application Number

09/837,627

Applicant(s)

PERLA, Jesse et al.

Filing Date

January 31, 2000

Group Art Unit

2152

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,963,952	10/05/99	Smith			<b>RECEIVED</b> <b>FEB 08 2002</b> <b>Technology Center 2100</b>
		5,928,323	07/27/99	Gosling et al.			
		5,911,485	06/15/99	Rossmann			
		5,828,840	10/27/98	Cowan et al.			
		5,784,583	07/21/98	Redpath			
		5,327,529	07/05/94	Fults et al.			
		6,012,098	01/04/00	Bayeh et al.			
		5,530,796	06/25/96	Wang			

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.